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Keywords: North Pacific Ocean; Interpolation; Wind; Cyclone;

Wave model; Wave height; Wave period

# Wave hindcast in the North Pacific area considering the propagation of surface disturbances

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# Abstract

Ocean surface waves in the North Pacific area are affected by storm winds. It is necessary to consider the movement of storms to predict waves. The impact of a time interpolation method for winds that considers the propagation of surface disturbances on ocean wave prediction from 2005 to 2006 in the North Pacific area is demonstrated. It is possible to interpolate surface winds, even when there are multiple cyclones and anticyclones moving in different directions at different distances. This method will be useful for wind fields with increasing amounts of spatial information. The predicted wave heights and periods from the linearly interpolated winds and the winds predicted using this new method are compared with in-situ observations from several moored buoys. The predicted wave heights are also compared with those from several drifting buoys in the northwestern Pacific. The improvement of the wave height and period prediction is evident in the case where the difference in the predicted wave parameters between the linear interpolation and the present method is large. The improvement of the wave height and

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period prediction is statistically significant at more than 95 % in most cases. It is shown that the wave height and period prediction can be improved by improving the time interpolation method; however, the improvement of the wave direction prediction is not evident.

Keywords: North Pacific Ocean; Interpolation; Wind; Cyclone; Wave model; Wave height; Wave period.

#### 1. Introduction 1

Knowledge of the wave climate is important for ocean wave research and 2 for practical applications such as ship navigation, offshore oil exploration, 3 and the planning of marine operations and offshore and coastal structures. 4 Wave heights are high during the winter in the North Pacific area, including the Bering Sea and the Sea of Okhotsk. Extratropical cyclones often occur and develop in the North Pacific area. These extratropical cyclones cause 7 extremely high waves. A hindcast of ocean waves is important for climate 8 studies and for practical applications such as scheduling ship navigation and 9 maintaining fisheries. The prediction and hindcast of ocean waves is often 10 used (e.g., Chawla et al., 2013; Chowdhury and Behera, 2017; Cox and Swail, 11 2001; Reguero et al., 2012; Sasaki, 2014; Wang and Swail, 2001; Yamaguchi 12 and Hatada, 2002) for wave climate studies. Ocean wave models for hindcasts 13 are driven by archived atmospheric reanalysis datasets. However, the time 14 resolution of archived atmospheric reanalysis data T is much longer than 15 the time step required for wave prediction. Therefore, the surface wind is 16 interpolated with respect to time. 17

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It may be better to compute surface winds from atmospheric models

incorporating observed data; however, this would result in a computational 19 overload. A linear interpolation with respect to time is often used because it 20 is simple and robust. However, a linear time interpolation cannot retrieve the 21 atmospheric fields in the case of a moving cyclone. A moving tropical cyclone 22 is expressed by a parametric form (e.g., Hisaki and Naruke, 2003; Hong and 23 Yoon, 2003), and the surface wind field is deduced from the parametric model. 24 A Rankine vortex is often used for the parametric model (e.g., Cheung et al., 25 2007; Phadke et al., 2003). This approach may be useful for case studies that 26 investigate the ocean response to a moving storm. However, it is difficult to 27 apply this method when both moving cyclones and stationary fields coexist. 28 An interpolation using the parametric form is generally applied in the area 20 near the moving cyclones for each cyclone, while linear interpolations are 30 applied in other areas. The problem is how to decide which areas use the 31 parametric interpolation and which areas use the linear interpolation. 32

It is also difficult to express moving extratropical cyclones using the para-33 metric form, such as a Rankine eddy. Waves associated with extratropical 34 cyclones are predicted not from the parametric form of the cyclone but from 35 the interpolated wind of the gridded data (e.g., Businger et al., 2015; Pingree-36 Shippee et al., 2016). Cieślikiewicz and Graff (1997) reconstructed the wind 37 fields using the empirical orthogonal function (EOF) method, in which the 38 spatial patterns are fixed; however, a propagating pattern cannot be recon-39 structed using this method. 40

Hisaki (2016) developed a new and simple time interpolation method of
an atmospheric field that can be applied to both moving and stationary disturbances. This method is called the Space Propagation Time Interpolation

Method (SPTIM). The principle of SPTIM is similar to the interpolation 44 method in Hisaki (2011), which can remove the Garden Sprinkler effect by 45 interpolating a wave directional spectrum at higher frequency and direction 46 resolution from a wave spectrum at low spectral resolution. Hisaki (2016) 47 demonstrated that the predicted near inertial currents using winds interpo-48 lated from SPTIM are significantly different from those from linearly interpo-49 lated winds, even though the wind products for the prediction are the same. 50 This method becomes obsolete with increasing amounts of temporal wind 51 field information. However, it is useful with increasing spatial wind field in-52 formation because the grid number difference in the position of a cyclone at 53 a time t with that at a time t + T is larger with higher spatial resolution 54 (Hisaki, 2016). 55

There are studies that have investigated the wave predictions from differ-56 ent wind products in the the global ocean (e.g., Campos and Soares, 2016; 57 Caires et al., 2004; Graber et al., 1995; Stopa and Cheung, 2014). The wind 58 products in these studies have different time and spatial resolutions. There 50 are few studies that investigate the impact of the time interpolation method 60 on wave predictions using the same wind data products. Van Vledder and 61 Akpınar (2015) showed that a finer time resolution in the wind fields does 62 not significantly improve the accuracy of the wave predictions. 63

The objective of the study is to demonstrate SPTIM for ocean surface wave prediction from 2005 to 2006 in the North Pacific area. The predicted wave parameters are compared with in-situ observed data obtained from deployed buoys. The positions of the deployed buoys are geographically limited to the North Pacific near the US coast.

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A few moored buoy datasets in the northwestern Pacific were used in 69 Cox and Swail (2001) and Yamaguchi and Hatada (2002). However, these 70 moored buoys were dismantled in 2000. There were no moored buoys in the 71 northwestern Pacific around Japan during the analysis period used in this 72 study. Most validation studies of wave hindcasts have not been compared to 73 in-situ observations in the northwestern Pacific (Caires et al., 2004; Reguero 74 et al., 2012; Stopa and Cheung, 2014), and validation studies of wave predic-75 tions using buoy datasets in the northwestern Pacific are rare. Comparisons 76 of predicted wave parameters with other instruments are limited to satellite 77 altimeter data. The validation of satellite altimeter wave height data in the 78 northwestern Pacific is limited. For example, Zieger et al. (2009) validated 79 satellite altimeter wave height data via comparisons to buoy data; however, 80 there are no buoy data in the northwestern Pacific. 81

We did comparisons with drifting buoy data in the northwestern Pacific. Studies that compare predicted wave parameters with drifting buoy data are rare (Doble and Bidlot, 2013; Waseda et al., 2014).

The difference between linearly interpolated winds and winds interpolated by SPTIM is large in the storm track area of the northwestern Pacific (Hisaki, 2016). The storm track area is observed along the major oceanic frontal zones in the northwestern Pacific (Nakamura et al., 2004). The wave data from drifting buoys are suitable for demonstrating the ability of SPTIM to predict wave parameters because many of the drifting buoys have moved to the Kuroshio extension area.

This paper is organized as follows. Section 2.1 briefly reviews SPTIM.
 Section 2.2 describes the wave modeling and observations. Section 3.1 shows

<sup>94</sup> an example of a wave prediction. The wind and wave parameters are com-<sup>95</sup> pared with moored buoy observations in Sections 3.2, 3.3, and 3.4. The wave <sup>96</sup> heights are compared with drifting buoy observations in Section 3.6. The <sup>97</sup> wave directions are compared in Section 3.5. The spatial and temporal vari-<sup>98</sup> ability of the predicted wave parameters from the linear interpolation and <sup>99</sup> SPTIM are investigated in Section 3.7. The discussion and conclusions are <sup>100</sup> presented in Section 4.

#### 101 2. Methods

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#### 102 2.1. Interpolation method

The details of the interpolation method are described in Hisaki (2016). The outline of the method is briefly described here. We consider the interpolation of a scalar value  $P = P_1(\mathbf{x})$  at a position  $\mathbf{x}$  and a time t and P  $P_2(\mathbf{x})$  at a position  $\mathbf{x}$  and a time t + T. The value of P at the time  $t + \alpha_t T$   $(0 < \alpha_t < 1)$  is  $P = (1 - \alpha_t)P_1 + \alpha_t P_2$  in the case of linear interpolation. If we consider the movement of the disturbance, this is extended as

$$P(\mathbf{x} + \alpha_t \mathbf{a}) = (1 - \alpha_t)P_1(\mathbf{x}) + \alpha_t P_2(\mathbf{x}_b),$$

(1)

where  $\mathbf{x}_b = \mathbf{x} + \mathbf{a}$  and the vector  $\mathbf{a} = \mathbf{a}(\mathbf{x})$  denotes the vector of the movement of the surface disturbance, which is called the propagation vector.

The propagation vectors **a** are estimated on all the grid points of the computational domain. We seek the centers of the surface disturbances at times t and t + T. The centers are those of the anticyclones or cyclones, which are identified as local maximum or minimum values of the sea level pressure.

The cyclones and anticyclones are tracked using the sea level pressure at 117 the times t and t + T. The procedure for making pairs of (anti)cyclones is 118 as follows. Consider that (anti)cyclones  $C_t(i)$  (i = 1, ..., M) and  $C_{t+T}(j)$ 119  $(j = 1, \ldots, N)$  are identified within the search window, where  $C_t(i)$  de-120 notes the (anti)cyclones at the time t and M and N are the number of 121 (anti)cyclones at the times t and t + T, respectively. We seek  $j_q(i) = j$  to 122 minimize  $|\text{SLP}(C_t(i)) - \text{SLP}(C_{t+T}(j))|$  for each *i*, where  $\text{SLP}(C_t(i))$  is the 123 central sea level pressure of the (anti)cyclone  $C_t(i)$ . 124

If there exists no k for i (i, k = 1, ..., M) that satisfies  $j_q(i) = j_q(k)$ 125 except  $i \neq k$ , a pair of (anti)cyclones  $(C_t(i), C_{t+T}(j_q(i)))$  is identified. If 126 there exists a k for i (i, k = 1, ..., M) that satisfies  $j_q(i) = j_q(k)$  and  $i \neq k$ , 127 the distances dist $(C_t(i), C_{t+T}(j_q(i)))$  and dist $(C_t(k), C_{t+T}(j_q(k)))$  are com-128 pared, where  $dist(C_t(i), C_{t+T}(j))$  is the distance between the centers of the 129 (anti)cyclones  $C_t(i)$  and  $C_{t+T}(j)$ . If dist $(C_t(i), C_{t+T}(j_q(i))) < \text{dist}(C_t(k), C_{t+T}(j_q(k)))$ , 130 a pair of (anti)cyclones  $(C_t(i), C_{t+T}(j_q(i)))$  is identified. The number of 131 (anti)cyclone pairs cannot be larger than M or N. There exists the possibil-132 ity that (anti)cyclone pairs are overlooked. This method should therefore be 133 improved by incorporating the track method in Neu et al. (2013). 134

The positions of the centers of the disturbances are  $\mathbf{x}_p(n)$  and  $\mathbf{x}_q(n)$ ( $n=1,\ldots,M_D$ ) at times t and t+T, respectively, where  $M_D$  is the number of pairs of centers of anticyclones or cyclones. The positions  $\mathbf{x}_p(n)$  and  $\mathbf{x}_q(n)$ are considered to be the positions for the same anticyclones or cyclones. The propagation vectors  $\mathbf{a}$  in Eq. (1) on  $\mathbf{x}_p(n)$  ( $n=1,\ldots,M_D$ ) are  $\mathbf{x}_q(n)-\mathbf{x}_p(n)$ .

The propagation vectors **a** on the other grid points are spatially interpolated from **a** at the positions  $\mathbf{x}_p(n)$ . If the area of the analysis is limited, the propagation vector  $\mathbf{a}$  on the boundary is  $\mathbf{0}$ . Both position vectors  $\mathbf{x}$  and  $\mathbf{x}+\mathbf{a}$  are on grid points. In this case, the position  $\mathbf{x}+\alpha_t \mathbf{a}$  in Eq. (1) is not on a grid point. Instead of the spatial interpolation of P evaluated in Eq. (1) at positions  $\mathbf{x}+\alpha_t \mathbf{a}$  to the grid points, we estimate the position  $\mathbf{x}_a$  by solving the equation the equation

$$\mathbf{x}_c = \mathbf{x}_a + \alpha_t \mathbf{a}(\mathbf{x}_a) \tag{2}$$

for a given grid position  $\mathbf{x}_c$ , where  $\mathbf{a}(\mathbf{x}_a)$  is the bilinearly interpolated **a** from 148 the propagation vectors **a** onto the grid points. The value of  $P = P_1(\mathbf{x}_a)$ 149 at the time t is evaluated using the bilinear interpolation from  $P_1$  on the 150 grid points. The value of  $P = P_2(\mathbf{x}_a + \mathbf{a}(\mathbf{x}_a))$  at the time t + T is evaluated 151 using the bilinear interpolation from  $P_2$  on the grid points. Then, the value 152 of P at the time  $t + \alpha_t T$  is evaluated using Eq. (1) by replacing **x** with  $\mathbf{x}_a$ . 153 This interpolation is conducted in components for the wind vectors. In the 154 case where the propagation vector  $\mathbf{a}$  is  $\mathbf{0}$ , SPTIM is identical to the linear 155 interpolation. An ad-hoc correction is conducted near the coast (Hisaki, 156 2016). 157

#### 158 2.2. Model and data

The ECMWF ERA-Interim surface wind data and sea level pressure (http://apps.ecmwf.int/datasets/data/interim-full-daily/) were used to predict the wave spectra. The spatial resolution is  $0.75^{\circ} \times 0.75^{\circ}$ , and the time resolution is 6 hours.

The wave spectra  $F = F(f, \theta, \mathbf{x}, t)$ , where f is the wave frequency,  $\theta$  is the wave direction,  $\mathbf{x}$  is the position, and t is the time, are predicted using the energy balance equation for deep water. The parameterization of the

source function S is the same as that of WAM (Wave Modeling) cycle-4 166 (e.g., WAMDI Group et al., 1988; Wise Group et al., 2007). The wind input 167 source function is from Janssen (1991), the dissipation source function is 168 from Komen et al. (1984), and the nonlinear interaction source function is 169 from Hasselmann et al. (1985). The ratio of adjacent frequencies was 1.1, 170 and the resolution of the wave direction was  $15^{\circ}$ . The frequency is from 171  $3.505 \times 10^{-2}$  Hz to 0.345 Hz. The spatial grid for the wave prediction is the 172 same as that of the ECMWF Interim data and is  $0.75^{\circ} \times 0.75^{\circ}$ . The area 173 of the computation is from  $135^{\circ}$  E to  $150^{\circ}$  W and from  $20.25^{\circ}$  N to  $64.5^{\circ}$  N. 174 This computation area was selected to cover the NDBC (National Data Buoy 175 Center) buoys and the storm track area in the northwestern Pacific and due 176 to the computation limitations of the personal computer used. The wave 177 spectra on the upwind boundary were evaluated by solving  $\partial F/\partial t = S$ . 178

The time step of the computation was 15 min. The wind data were interpolated for 15-min intervals between 7.5 min and 52.5 min of every hour. The wave spectra were computed from 0 min to 45 min of every hour. The wave parameters at every hour were compared to the in-situ observations.

The wave data of NDBC in Figure 1a was used for the comparison. The wind is observed 5 m above sea level, and the wind speed at a height of 10 m is estimated from the power law of the wind speed using the method of Hsu et al. (1994).

The wave heights  $H_s = 4M_{0,0,0}^{1/2}$ , periods  $T = M_{0,0,0}M_{1,0,0}^{-1}$ , and directions  $\theta_v = \operatorname{Arg}(M_{0,1,0}, M_{0,0,1})$  are estimated from the wave spectrum  $F(f, \theta)$ , where

$$M_{p,q,r} = \int_0^\infty \int_{-\pi}^{\pi} f^p \cos^q \theta \sin^r \theta F(f,\theta) d\theta df$$
(3)

and  $\operatorname{Arg}(X, Y)$  denotes an argument of a complex number X+iY. The model

predicted wave height, period, and direction from the linearly interpolated 191 winds are referred to as  $H_{sL}$ ,  $T_L$ , and  $\theta_{vL}$ . The model predicted wave height, 192 period, and direction from the winds interpolated by SPTIM are referred to 193 as  $H_{sS}$ ,  $T_S$ , and  $\theta_{vS}$ . The buoy-observed wave height, period, and direction 194 are referred to as  $H_{sB}$ ,  $T_B$ , and  $\theta_{vB}$ . The buoy-observed wind, the linearly 195 interpolated wind from the ECMWF ERA-Interim surface wind data, and the 196 wind interpolated by SPTIM are denoted as  $\mathbf{U}_B$ ,  $\mathbf{U}_L$ , and  $\mathbf{U}_S$ , respectively. 197 The wind speeds are  $U_B = |\mathbf{U}_B|$ ,  $U_L = |\mathbf{U}_L|$ , and  $U_S = |\mathbf{U}_S|$ . 198

The hourly data were analyzed, and the period of the analysis was from 199 January 1, 2005, to December 31, 2006. The locations of the NDBC buoys are 200 indicated in Figure 1a. Table 1 summarizes the NDBC buoy specifications. 201 The wave data from these buoys are collected at least more than one year. 202 The number of wave data  $N_{wn}$  is different from the number of wind data 203  $N_{wn}$  because the wind and wave sensors are different. The wave directions 204 were only observed at buoy U (51001) during the analysis period. We can 205 download the data of P(f) and  $\theta_v(f)$ , where P(f) is a frequency spectrum 206 and  $\theta_v(f)$  is the spectral mean wave direction at a frequency f. The mean 207 wave directions are evaluated as 208

$$\theta_{vB} = \operatorname{Arg}(\int_0^{f_u} \cos \theta_v(f) P(f) df, \int_0^{f_u} \sin \theta_v(f) P(f) df),$$
(4)

where  $f_u = 0.485$  Hz is the upper frequency.

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We also compared the predicted wave heights with data observed by a drifting JMA (Japan Meteorological Agency) buoy. The drifting JMA buoy data are available at http://www.data.jma.go.jp/gmd/kaiyou/db/vessel\_obs/ data-report/html/buoy/buoy\_e.php. The wave height is observed by the drifting buoy at 3-hour intervals. The resolution of the wave height is 0.1 m.

Figure 1b shows the trajectory of the drifting JMA buoys. The analysis 216 period and the number of data points of the JMA drifting buoys are sum-217 marized in Table 2. The area for the comparison is north of  $30^{\circ}$  N and east 218 of  $145^{\circ}$  E to avoid the areas close to land and to the boundary of the com-219 putational area. Seven drifting buoys were used for the comparison and are 220 labeled A–G in Figure 1b and Table 2. The number of total comparisons is 221  $N_{wv} = 3955$ , which is much smaller than that with the NDBC buoys. Most 222 of the JMA drifting buoys are drifting in the Kuroshio extension current. 223

# 224 3. Results

# 225 3.1. Example of a wave prediction

Figure 2 shows examples of interpolations of the wind. Figure 2a shows 226 time series of the wind speeds at the NDBC Buoy P (46071) location (51.141° N, 227 179.119° W) from 0 UTC to 12 UTC on August 25, 2005. The buoy-observed 228 wind speeds increased until 2 UTC and then decreased (Figure 2a). The wind 229 speeds from the SPT (Space Propagation Time)-interpolated wind vectors 230 also increased until 2 UTC and then decreased. However, the temporary 231 change in the buoy-observed wind speeds cannot be seen in the wind speeds 232 from the linear interpolation. An artifact similar to a 6-hour-period spurious 233 oscillation can be seen in the linearly interpolated wind speeds (Figure 2a). 234

Figures 2b and 2c show the wind vectors and wind speeds at 0 UTC and 6 UTC, respectively, on August 25, 2005. An extratropical cyclone with a center of (54.75° N, 177.75° E) can be seen at 0 UTC (Figure 2b). The buoy location is close to the maximum position of the wind speeds associated with the cyclone, which are located southeast of the cyclone center. The center of the cyclone is at (55.5° N, 177.75° W) at 6 UTC (Figure 2c), and the cyclone
was moving northeastward at the time.

Figures 2d and 2e show the wind vectors and wind speeds estimated from 242 the linear interpolation and SPTIM, respectively, at 4 UTC on August 25, 243 2005. The buoy location (the triangle in Figure 2b-f) is close to the maximum 244 position of the wind speeds associated with the cyclone (Figure 2e), while 245 the maximum position of the wind speeds in Figure 2d is not as close to 246 the buoy position as those in Figures 2b and 2e. The wind speed maximum 247 area in Figure 2d appears to be smeared. Figure 2f shows the differences in 248 the wind vectors estimated from SPTIM and those estimated from the linear 249 interpolation  $(\mathbf{U}_S - \mathbf{U}_L)$  and the differences in the wind speeds  $(U_S - U_L)$ . 250 A local maximum area with a large difference between  $U_S$  and  $U_L$  can be 251 seen near (55° N, 180° E) in Figure 2f. The local maximum of  $U_S - U_L$  at 252  $(54.75 \text{ N}, 179.25^{\circ} \text{ E})$  in Figure 2f is close to the local minimum position of 253 the wind speeds at 00 UTC on August 25 (Figure 2b). We can see another 254 local maximum of  $U_S - U_L$  and a local minimum near the area that is close 255 to the position of the local minimum wind speeds at 06 UTC on August 25. 256 There is another cyclone around  $(43.5^{\circ} \text{ N}, 160^{\circ} \text{ E})$  in Figure 2. The positions 257 at which the differences between  $U_S$  and  $U_L$  are large are also close to the 258 center of this cyclone. 259

Figure 3a shows time series of the wave heights  $(H_{sB}, H_{sL}, \text{ and } H_{sS})$  at the NDBC buoy location from 0 UTC to 12 UTC on August 25, 2005. The observed wave heights  $H_{sB}$  (blue) are the highest and the predicted wave heights from the SPTIM winds are the second highest throughout most of the period, while the buoy-observed wind speeds are lowest after 6 UTC in Figure 2a. The wind speed differences  $|U_L - U_B|$  are smaller than  $|U_S - U_B|$ from 5 UTC to 11 UTC in Figure 2a. However, the wave height differences  $|H_{sS} - H_{sB}|$  are smaller than  $|H_{sL} - H_{sB}|$  in Figure 3a, which suggests that the estimation of the winds in the area surrounding the buoy location is greatly improved by SPTIM.

Figures 3b and 3c show the predicted wave heights from the linearly in-270 terpolated winds  $(H_{sL})$  and those from the SPT-interpolated winds  $(H_{sS})$ 271 at 4 UTC on August 25, 2005. A local maximum of the wave heights as-272 sociated with the cyclone can be seen at (51° N, 179.25 W), which is close 273 to the position of the NDBC buoy (P) both in Figure 3b and in Figure 3c. 274 The difference in the wave heights  $(H_{sS}-H_{sL})$  is shown in Figure 3d. The 275 local maximum position of the difference near the NDBC buoy position is at 276  $(54^{\circ} \text{ N}, 179.25 \text{ W})$ , which is different from the maximum position of the wave 277 heights. The difference in the wave heights  $(H_{sS}-H_{sL})$  is positive around the 278 area of the cyclone near the NDBC buoy, while there is an area where the 279 value of  $U_S - U_L$  is negative around (56° N, 180° E) in Figure 2f), which shows 280 that the the wave height in the area is affected by the swell. 281

#### 282 3.2. Comparisons of wave parameters with the total NDBC buoys

Figure 4a shows a comparison between the linearly interpolated wind speeds  $(U_L)$  and the six NDBC buoy-observed wind speeds  $(U_B)$ . The number of total wind comparisons is  $N_{wn} = 76,940$ . The mean values of  $U_B$  and  $U_L$ are  $\overline{U_B} = 8.906 \text{ ms}^{-1}$  and  $\overline{U_L} = 8.121 \text{ ms}^{-1}$ , respectively. The RMSD (rootmean-square deviation) of the wind speeds is  $R_d(U_B, U_L) = 1.905 \text{ ms}^{-1}$ , where  $R_d(X,Y) = [\overline{(X-Y)^2}]^{1/2}$  denotes the RMSD between the parameters X and Y and  $\overline{X}$  denotes the averaging of X. The correlation coefficient <sup>290</sup>  $r_c(U_B, U_L)$  between the buoy-observed winds and the linearly interpolated <sup>291</sup> winds is 0.913, where  $r_c(X, Y)$  denotes the Pearson correlation between the <sup>292</sup> parameters X and Y. The scatter plots indicate the percentage of the number <sup>293</sup> of dots to the total number of dots in the 0.2 ms<sup>-1</sup> bins. For example, the <sup>294</sup> number of data points  $(U_L, U_B)$  satisfying  $7.2 \leq U_L < 7.4 \text{ ms}^{-1}$  and  $8.6 \leq$ <sup>295</sup>  $U_B < 8.8 \text{ ms}^{-1}$  is 128, and the percentage of the data is  $128/76, 940 \simeq 0.166 \%$ , <sup>296</sup> which is indicated by the red area in Figure 4a.

Figure 4c shows a comparison between the model-predicted wave heights 297 from the linearly interpolated winds  $(H_{sL})$  and the NDBC-buoy-observed 298 wave heights  $(H_{sB})$ . The number of comparisons of wave heights is  $N_{wv} =$ 299 89,318, the RMSD of the wave heights is  $R_d(H_{sB}, H_{sL}) = 0.638$  m, and the 300 correlation coefficient is  $r_c(H_{sB}, H_{sL}) = 0.893$ . The correlation coefficients of 301 both the wave height  $(r_c(H_{sB}, H_{sL}))$  and the wind speeds  $(r_c(U_B, U_L))$  are 302 approximately 0.9, and the model prediction is reasonable. The RMSD of 303 the wave height is  $R_d(H_{sB}, H_{sS}) = 0.631$  m, and the correlation coefficient 304 between the buoy wave heights and the SPTIM wave heights is  $r_c(H_{sB}, H_{sS})$ 305 =0.895, which is slightly lower and slightly higher than  $R_d(H_{sB}, H_{sL})$  and 306  $r_c(H_{sB}, H_{sL})$ , respectively, but nearly the same. 307

Figure 4e shows a comparison of the observed wave periods  $(T_B)$  and the predicted wave periods from the linearly interpolated winds  $(T_L)$ . Figure 4e shows the percentage of the data plotted in the 0.2-s bins. The RMSD of the wave periods is  $R_d(T_B, T_L) = 1.312$  s, and the correlation is  $r_c(T_B, T_L) = 0.747$ for  $N_{wv} = 89,318$  data points. The RMSD and the correlation of the periods from the winds calculated by SPTIM are  $R_d(T_B, T_S) = 1.301$  s and  $r_c(T_B, T_S)$ = 0.749 s, respectively. They are also slightly smaller and slightly higher than  $R_d(T_B, T_L)$  and  $r_c(T_B, T_L)$ , respectively.

Even though the prediction of the wind speeds, wave heights, and wave 316 periods are improved by SPTIM, the improvement is very small over all. We 317 selected the data for comparison as the absolute difference of the parameters 318 from the linear interpolation and SPTIM is larger than a threshold. Figure 4b 319 shows a scatter plot of the wind speeds (  $U_S$ - $U_B$  and  $U_L$ - $U_B$  ) for  $|U_S - U_L|$ 320 >  $U_D$ , and  $U_D = 1.6 \text{ ms}^{-1}$ . Figure 4b shows a comparison of the wind speeds 321 estimated from SPTIM and the linear interpolation only in the case where 322 the difference in the model wind speeds is larger than  $1.6 \text{ ms}^{-1}$ . The value 323 of  $U_D = 1.6 \text{ ms}^{-1}$  is one example, and the dependency of the wind speed 324 accuracy on  $U_D$  will be discussed. 325

The number of comparisons is  $N_{wn} = 337$ , which is  $337/76,940 \simeq 0.438$  % 326 of the total data. The mean buoy-observed, linearly interpolated, and SPT-327 interpolated wind speeds are  $\overline{U_B} = 14.412 \text{ ms}^{-1}$ ,  $\overline{U_L} = 11.511 \text{ ms}^{-1}$ , and  $\overline{U_S}$ 328 = 12.789 ms<sup>-1</sup>, respectively. The RMSD between  $U_B$  and  $U_L$  for  $|U_S - U_L|$ 329 > 1.6 ms<sup>-1</sup> is  $R_d(U_B, U_L) = 5.138$  ms<sup>-1</sup>, and the correlation coefficient is 330  $r_c(U_B, U_L) = 0.687$ . The RMSD between  $U_B$  and  $U_S$  for  $|U_S - U_L| > 1.6 \text{ ms}^{-1}$  is 331  $R_d(U_B, U_S) = 4.151 \text{ ms}^{-1}$ , and the correlation coefficient is  $r_c(U_B, U_S) = 0.737$ . 332 Even though the wind speed estimation for  $|U_S - U_L| > 1.6 \text{ ms}^{-1}$  is poorer 333 than that of the total, the RMSD difference  $R_d(U_B, U_L) - R_d(U_B, U_S)$  for this 334 case is larger than  $R_d(U_B, U_L) - R_d(U_B, U_S)$  of the total. The correlation 335 difference  $r_c(U_B, U_S) - r_c(U_B, U_L)$  in the case of  $|U_S - U_L| > 1.6 \text{ ms}^{-1}$  is also 336 larger that of the total. The difference in the RMSD is approximately  $1 \text{ ms}^{-1}$ , 337 and  $(R_d(U_B, U_L) - R_d(U_B, U_S)) / R_d(U_B, U_L) \simeq 0.238$ , which corresponds to 338 an approximate 24 % reduction in the RMSD from the linear interpolation 339

340 in the case of  $|U_S - U_L| > 1.6 \text{ ms}^{-1}$ .

Figure 4d shows a scatter plot of the wave heights  $(H_{sS}-H_{sB}$  and  $H_{sL}$ -341  $H_{sB}$ ) for  $|H_{sL} - H_{sS}| > H_D$  and  $H_D = 0.3$  m. The number of comparisons is 342  $N_{wv} = 462$ , which is 462/89,  $318 \simeq 0.517$  % of the total data. The mean values 343 of the wave heights are  $\overline{H_{sB}} = 5.831$  m,  $\overline{H_{sL}} = 5.269$  m, and  $\overline{H_{sS}} = 5.606$  m, 344 respectively, in this case. The RMSD between  $H_{sS}$  and  $H_{sB}$  is  $R_d(H_{sB}, H_{sS})$ 345 = 1.277 m, and the RMSD between  $H_{sL}$  and  $H_{sB}$  is  $R_d(H_{sB}, H_{sL}) = 1.456$  m. 346 The correlation between  $H_{sS}$  and  $H_{sB}$  is  $r_c(H_{sB}, H_{sL}) = 0.789$ , while the 347 correlation between  $H_{sS}$  and  $H_{sB}$  is  $r_c(H_{sB}, H_{sS}) = 0.819$ . 348

Figure 4e shows a scatter plot of the wave periods ( $T_S - T_B$  and  $T_L - H_B$ ) for  $|T_S - T_{sL}| > T_D$  and  $T_D = 0.2$  s. The number of comparisons is  $N_{wv} = 616$ , which is 616/89, 318  $\simeq 0.690$  % of the total data. The mean values of the wave heights are  $\overline{T_B} = 8.008$  s,  $\overline{T_L} = 6.876$  s, and  $\overline{T_S} = 6.997$  s, respectively, in Figure 4e. The RMSDs are  $R_d(T_B, T_S) = 1.813$  s and  $R_d(T_B, T_L) = 1.923$  s. The correlations are  $r_c(T_B, T_L) = 0.712$  and  $r_c(T_B, T_S) = 0.744$ .

Figure 5 shows the RMSD and correlation coefficients as a function of 355 the difference in the wind or wave parameters estimated from the linearly 356 interpolated winds and the SPT-interpolated winds. The percentage of the 357 data is also plotted as a function of the difference in Figure 5. The val-358 ues of  $R_d(U_B, U_L)$ ,  $R_d(U_B, U_S)$ ,  $r_c(U_B, U_L)$ , and  $r_c(U_B, U_S)$  in the case of 359  $|U_S - U_L| > U_D$  are plotted against  $U_D$  in Figure 5a. The values of the 360 RMSDs  $R_d(U_B, U_L)$  and  $R_d(U_B, U_S)$  increase with larger  $U_D$ . The difference 361  $R_d(U_B, U_L) - R_d(U_B, U_S)$  also increases with larger  $U_D$ . The correlations 362  $r_c(U_B, U_L)$  and  $r_c(U_B, U_S)$  decrease with higher  $U_D$ . The difference in the 363 correlations can be seen from  $U_D=0.4 \text{ ms}^{-1}$  in Figure 5a. The correlations 364

for  $U_D=0.4 \text{ ms}^{-1}$  are  $r_c(U_B, U_L) = 0.850$  and  $r_c(U_B, U_S) = 0.860$ , respectively, and the number of data points is 4224 (5.490 % as in Figure 5b). The correlation  $r_c(U_B, U_S)$  is higher than the correlation  $r_c(U_B, U_L)$ . The difference between the correlations  $(r_c(U_B, U_S) - r_c(U_B, U_L))$  increases with larger  $U_D$ .

Figure 5c shows the RMDSs and correlations of the wave heights as a 370 function of  $H_D$  in the case of  $|H_{sS} - H_{sL}| > H_D$ . The tendencies of the 371 RMSDs and correlations in Figure 5c are similar to those in Figure 5a. The 372 RMSDs  $R_d(H_{sB}, H_{sL})$  (the red line in Figure 5c) and  $R_d(H_{sB}, H_{sS})$  (the black 373 line in Figure 5c) increase with higher  $H_D$ . The correlations  $r_c(H_{sB}, H_{sL})$ 374 (the blue line in Figure 5c) and  $r_c(H_{sB}, H_{sS})$  (the green line in Figure 5c) 375 decrease with higher  $H_D$ . The differences  $R_d(H_{sB}, H_{sL}) - R_d(H_{sB}, H_{sS})$  and 376  $r_c(H_{sB}, H_{sS}) - r_c(H_{sB}, H_{sL})$  increase with higher  $H_D$ . This shows that the 377 efficacy of SPTIM compared to the linear interpolation is higher for larger 378 differences between the predicted wave heights from the linearly interpolated 379 winds  $(H_{sL})$  and those predicted from the SPT-interpolated winds  $(H_{sS})$ . 380

Figure 5e shows the RMSDs and correlations of the wave periods as a function of  $T_D$  in the case of  $|T_S - T_L| > T_D$ . The tendencies of the RMSDs and correlations in Figure 5e are also similar to those in Figures 5a and 5c, even though there are some differences. The RMSDs  $R_d(T_B, T_L)$  and  $R_d(T_B, T_S)$ increase by  $T_D \simeq 0.2$  s. The correlation  $r_c(T_B, T_S)$  does not decrease with larger  $T_D$ . The difference in the correlations  $r_c(T_B, T_S) - r_c(T_B, T_L)$  is evident with larger  $T_D$ .

The RMDSs between the predicted and observed wave parameters are larger for larger differences between the predicted wave parameters from the linearly interpolated winds and those from the SPT-interpolated winds. The predicted and observed wave parameters are more scattered and the bias between the predicted and observed wave parameters are larger for larger differences between the predicted wave parameters from the linearly interpolated winds and those from the SPT-interpolated winds.

Figures 5b, 5d, and 5f show the percentages of the number of data points 395 satisfying  $|U_S - U_L| > U_D$ ,  $|H_{sS} - H_{sL}| > H_D$ , and  $|T_S - T_L| > T_D$ , respectively. 396 As explained in Figures 4b, 4d, and 4f, the values of the percentages are 397 0.438 %, 0.504 %, and 0.610 % for  $U_D = 1.6 \text{ ms}^{-1}$ ,  $H_D = 0.3 \text{ m}$ , and  $T_D =$ 398 0.2 s, respectively. The curves in Figures 5b, 5d, and 5f are monotonically 399 decreasing and are concave upward even in the linear-log plots. This shows 400 that the numbers decrease rapidly as  $U_D$  (or  $H_D$  or  $T_D$ ) increase from 0 401 and that the reduction rates of the numbers decrease with larger  $U_D$  (or  $H_D$ 402 or  $T_D$ ). The percentages are approximately 10 % at  $U_D \simeq 0.26 \text{ ms}^{-1}$ ,  $H_D$ 403  $\simeq 0.055$  m, and  $T_D \simeq 0.066$  s in Figures 5b, 5d, and 5f, respectively. The 404 improvements in the RMSDs and correlations (Figures 5a, 5c, and 5e) are 405 small at these values of  $U_D$ ,  $H_D$ , and  $T_D$ . We can see improvements at the 406 values of  $U_D$ ,  $H_D$ , and  $T_D$  where the percentages are on the order of 1 %. 407 For example, the percentage for  $T_D$  =0.15 s is 1.664 % in Figure 5f, where 408 an improvement in the correlation can be seen in Figure 5e. 409

# 410 3.3. Comparisons with each NDBC buoy

Tables 3 and 4 show comparisons of the predicted wind and wave parameters with the wind and wave parameters recorded by the NDBC buoys. The correlation of the wave height is greater than 0.9 for three of the buoys. The correlation of the wind speeds  $r_c(U_B, U_L)$  is related to the correlation of the

wave height  $r_c(H_{sB}, H_{sL})$ . For example, the correlation  $r_c(U_B, U_L)$  at buoy R 415 is the highest of all six buoys and the correlation  $r_c(H_{sB}, H_{sL})$  at buoy T is 416 the highest. The correlations  $r_c(U_B, U_L)$  and  $r_c(H_{sB}, H_{sL})$  at buoy U are the 417 lowest of all six buoys. The order of the correlations  $r_c(U_B, U_L)$  from high to 418 low is R, T, S, Q, P, and U. The order of the correlations  $r_c(H_{sB}, H_{sL})$  from 419 high to low is T, R, Q, S, P, and U. The order of the correlations  $r_c(T_B, T_L)$ 420 from high to low is U, Q, T, R, S, and P. The correlations of the wave pe-421 riod  $r_c(T_B, T_L)$  are not highly related to the correlations of the wind speeds 422  $r_c(U_B, U_L)$ . The prediction of the wave height is affected by the local winds, 423 and the impact of the swell on the wave period prediction is larger than that 424 on the wave height prediction. The correlations of the wind and wave pa-425 rameters from the SPT-interpolated winds with the buoy-observed wind and 426 wave parameters are higher than those from the linearly interpolated winds 427 for all the buoys. The RMSDs of the wind and wave parameters from the 428 SPT-interpolated winds with the buoy-observed wind and wave parameters 420 are smaller than those from the linearly interpolated winds for almost all the 430 buoys. 431

Figure 6 shows the accuracies of the wind and wave predictions versus 432 the differences of these parameters, as in Figure 5, for each NDBC buoy. The 433 RMSDs and correlations are plotted as a function of the differences between 434 the linearly and SPT-interpolated parameters for each buoy. If the number 435 of data points is small, the plots are not indicated. The numbers of wind 436 and wave parameter data decrease rapidly with larger  $U_D$ ,  $H_D$ , and  $T_D$  at 437 buoy U. The difference between the linearly interpolated winds and the SPT-438 interpolated winds is small at lower latitudes because the number of moving 439

storms is small in this area. The wave period is underestimated at buoy U
because the swells from the west and south are not incorporated into the
wave prediction.

There is nearly the same tendency at all of the buoys. The RMSDs in-443 crease with larger differences in the parameters  $(U_D, H_{sD})$ , and  $T_D$ ). The 444 dashed lines are above the solid lines in Figures 6a, 6c, and 6e, which indi-445 cates that the RMSDs for the SPT-interpolated parameters are smaller than 446 those for the linearly interpolated parameters (i.e.,  $R_d(U_B, U_L) > R_d(U_B, U_S)$ ), 447  $R_d(H_B, H_L) > R_d(U_B, U_S)$ , and  $R_d(T_B, T_L) > R_d(T_B, T_S)$ ) for all buoys. The 448 differences of the RMSDs are larger with larger differences in the estimated 449 parameters  $(U_D, H_{sD}, \text{ and } T_D)$ . 450

The correlations decrease with larger differences in the parameters. The 451 solid lines are above the dashed lines in Figures 6b, 6d, and 6f for most of the 452 buoys. This indicates that the correlations of the SPT-interpolated param-453 eters are higher than those of the linearly interpolated parameters. There 454 are some exceptions to these tendencies. For example, the correlations of 455 the wind speeds with the linearly interpolated wind speeds are slightly larger 456 than those with the SPT-interpolated wind speeds  $(r_c(U_B, U_L) > r_c(U_B, U_S))$ 457 at the NDBC buoy R (46073) (the green lines in Figure 6b). The corre-458 lations of the wind speeds at buoy R are higher than those at other buoys. 459 The RMSDs  $R_d(U_B, U_S)$  at buoy R are significantly smaller than the RMSDs 460  $R_d(U_B, U_L)$ . The total wind speed interpolation is therefore improved even 461 at buoy R. 462

#### 463 3.4. Statistical significance

The significance level of the improvement in the wave prediction in Fig-464 ure 5 was investigated. The significance level is evaluated using the bootstrap 465 method (e.g., Emery and Thomson, 1998). For a given  $U_D$  (or  $H_D$  or  $T_D$ ), 466 the effective sample size  $N_e = N_e(k)$  ( $N_e \leq N_c$ ) is evaluated for each buoy 467 (e.g., Emery and Thomson, 1998; Trenberth, 1984), where  $N_c = N_c(k)$  is the 468 number of comparisons for each buoy and k = 1, ..., 6 is the buoy number, 469 and they are  $N_{wn}$  or  $N_{wv}$ . The  $N_c$  data are treated as serial data, which 470 underestimates the effective sample size  $N_e$ .  $N_e = N_e(k)$  for each buoy data 471 are resampled from  $N_c = N_c(k)$  data using the bootstrap method. The cor-472 relation coefficients and RMSDs of the wave parameters are evaluated from 473  $\sum_{k=1}^{6} N_e(k)$  data points. This calculation is repeated 10<sup>4</sup> times. The numbers 474 of bootstraps in which the correlation coefficients increase and the RMSDs 475 decrease using SPTIM are counted, and the significance levels are evaluated. 476 Figure 7 shows the significance level as a function of  $U_D$ ,  $H_D$ , and  $T_D$  for 477 Figure 5. For example, the significance levels at  $H_D = 0.1$  m in Figure 7b 478 are 99.6 % for the correlation (the red line) and 100 % for the RMSD (the 479 blue line). The possibility that correlations between  $H_{sS}$  and  $H_{sB}$  are higher 480 than those between  $H_{sL}$  and  $H_{sB}$  is 99.6 % in the case of  $|H_{sS} - H_{sL}| > 0.1$  m. 481 The possibility of  $R_d(H_{sB}, H_{sS}) < R_d(H_{sB}, H_{sL})$  is 100 % in the case of 482  $|H_{sS} - H_{sL}| > 0.1$  m. The significance level of  $r_c(U_B, U_S) > r_c(U_B, U_L)$  is 483 not as high (Figure 7a, red line), as the correlation coefficients  $r_c(U_B, U_S)$ 484 and  $r_c(U_B, U_L)$ , which are nearly the same as each other for smaller  $U_D$ 485 (Figure 5a). However, they are more than 90 % in most of the cases in 486 Figure 7a. The significance levels for the wave height and period predictions 487

are not high for  $H_D \simeq 0$  and  $T_D \simeq 0$ , respectively. The significance level of 488 the improvement in the wave height is more than 95%, except for  $H_D \simeq 0$  m 489 (Figure 7b). The correlations  $r_c(T_B, T_S)$  and  $r_c(T_B, T_L)$  are nearly the same, 490 and the significance level of the improvement in the wave period correlation 491 is not as high for  $T_D \simeq 0$ . However, most of the significance levels for the wave 492 height and period prediction improvements are greater than 95 %, except in 493 these cases. The improvement in the wave prediction when using SPTIM is 494 statistically significant over all. 495

In addition, we evaluated the significance levels of the wave prediction improvement for each buoy. However, the improvement was not very significant for some of the buoys because the number of comparisons was insufficient.

#### 499 3.5. Comparison of wave directions

Figure 8 shows a comparison of the wave directions at buoy U. Figure 8a 500 is a scatter density plot between the wave directions from the linearly inter-501 polated winds  $(\theta_v = \theta_{vL})$  and those observed by buoy U  $(\theta_v = \theta_{vB})$  in 5°×5° 502 bins. The wave directions in Figure 8a indicate the direction from which the 503 waves are coming and increase in the clockwise direction. The value of  $\theta_{\nu}=0^{\circ}$ 504 is from the north, and the value of  $\theta_v = 90^\circ$  is from the east. The density is the 505 largest in the  $80^{\circ} \le \theta_{vL} < 85^{\circ}$  and  $90^{\circ} \le \theta_{vB} < 95^{\circ}$  bins, which are associated 506 with easterlies. The RMSD between  $\theta_{vL}$  and  $\theta_{vB}$  is  $R_d(\theta_{vL}, \theta_{vB}) = 33.86^\circ$ , 507 which is not very small. The RMSD between the linearly interpolated wind 508 directions and the buoy-observed wind directions is  $29.45^{\circ}$ , which is com-509 parable to  $R_d(\theta_{vL}, \theta_{vB})$ . In addition, swells from the west or south are not 510 incorporated when predicting the wave spectrum around the area of buoy U. 511 The RMSD between the wave directions from the SPTIM interpolated winds 512

<sup>513</sup>  $(\theta_{vS})$  and the buoy-observed wave directions is  $R_d(\theta_{vS}, \theta_{vB}) = 34.06^\circ$ , which <sup>514</sup> is slightly larger than  $R_d(\theta_{vL}, \theta_{vB})$ .

Figure 8b shows  $R_d(\theta_{vL}, \theta_{vB})$  and  $R_d(\theta_{vS}, \theta_{vB})$  versus  $\theta_D$ , which satisfies 515  $|\theta_{vS} - \theta_{vL}| > \theta_D$ . The RMSDs  $R_d(\theta_{vL}, \theta_{vB})$  and  $R_d(\theta_{vS}, \theta_{vB})$  are larger with 516 larger  $\theta_D$  for  $\theta_D \simeq 12^\circ$ . However, the values of  $R_d(\theta_{vS}, \theta_{vB})$  are larger than 517  $R_d(\theta_{vL}, \theta_{vB})$  for larger  $\theta_D$ . Figure 8c shows the ratio of the number of data 518 points versus  $\theta_D$  to the total number of data points (13, 726). The number 519 of data points for larger  $\theta_D$  is small. For example, the number of data 520 points is only 62 and 0.452 % (62/13,726) for  $\theta_D = 12^\circ$ . Figure 8d shows 521 the probability that  $R_d(\theta_{vS}, \theta_{vB})$  is larger than  $R_d(\theta_{vL}, \theta_{vB})$ , as explained 522 in Section 3.4. The number of data points for larger  $\theta_D$  is small and the 523 probability is at most 80 %. The prediction of the wave direction is not 524 improved by SPTIM. 525

#### <sup>526</sup> 3.6. Comparison with JMA drifting buoys

Figure 9 shows a comparison of the wave heights from the JMA drifting 527 buoys. Figure 9a shows a scatter plot between the predicted wave heights 528 from the linearly interpolated winds  $(H_{sL})$  and the JMA-buoy-observed wave 529 heights  $(H_{sB})$ . The correlation coefficient is  $r_c(H_{sB}, H_{sL}) = 0.856$ , and the 530 RMSD is  $R_d(H_{sB}, H_{sL}) = 0.650$  m. The correlations and the RMSD are 531 smaller than those of the NDBC buoys. The mean predicted and JMA-532 observed wave heights are  $\overline{H_{sL}} = 2.174$  m and  $\overline{H_{sB}} = 2.369$  m, respectively. 533 These values are smaller than the NDBC buoy wave heights. The correlation 534 between the predicted wave heights from the SPT-interpolated winds  $(H_{sS})$ 535 and the JMA-buoy-observed wave heights  $(H_{sB})$  is  $r_c(H_{sB}, H_{sS}) = 0.860$ , 536 which is slightly higher than  $r_c(H_{sB}, H_{sL})$ . The RMSD is  $R_d(H_{sB}, H_{sS}) =$ 537

<sup>538</sup> 0.641 m, which is slightly smaller than  $R_d(H_{sB}, H_{sL})$ . The mean model <sup>539</sup> predicted wave height is  $\overline{H_{sS}} = 2.186$  m.

Figure 9b shows the RMSD and the correlation coefficient versus  $H_D$ , which satisfies  $|H_{sS} - H_{sL}| > H_D$ . The general tendencies of the correlations and RMSDs are similar to those in Figure 5c. The correlations are smaller with larger  $H_D$ . The RMSDs are larger with larger  $H_D$ . The differences between the correlations  $(r_c(H_{sB}, H_{sS}) - r_c(H_{sB}, H_{sL}))$  are larger with increasing  $H_D$ . The differences between the RMSDs  $(R_d(H_{sB}, H_{sL}) - R_d(H_{sB}, H_{sS}))$ are also larger with increasing  $H_D$ .

Figure 9c shows the significance level versus  $H_D$  for Figure 9b as in Fig-547 ure 7b. The method for the calculation is the same as that for Figure 7b. 548 The effective sample size  $N_e = N_e(k)$   $(k=1,\ldots,7)$ .  $N_e(k)$  wave data points 549  $(H_{sB}, H_{sB}, H_{sL})$  are resampled for each buoy number k  $(k=1,\ldots,7)$ . The 550 correlations  $(r_c(H_{sB}, H_{sL}) \text{ and } r_c(H_{sB}, H_{sS}))$  and the RMSDs  $(R_d(H_{sB}, H_{sL}))$ 551 and  $R_d(H_{sB}, H_{sS})$ ) are estimated from the resampled wave data, and the 552 improvement is evaluated. The possibility of an improvement is more than 553 90 % for 0.05 m< $H_D$ <0.2 m. 554

The probability of  $r_c(H_{sB}, H_{sS}) > r_c(H_{sB}, H_{sL})$  is low for  $H_D \ge 0.2$  m. This is due to the resampling in the bootstrap method. The correlation  $r_c(H_{sB}, H_{sS})$  is smaller than  $r_c(H_{sB}, H_{sL})$  in one of the buoys (buoy D). If  $\sum_{k=1}^{7} N_e(k)$  data are resampled from the total buoy data, the probability of  $r_c(H_{sB}, H_{sS}) > r_c(H_{sB}, H_{sL})$  is higher even for  $H_D \ge 0.2$  m. This problem can be resolved by increasing the amount of buoy data for the comparison.

Figure 9d shows the percentage of the wave data versus the difference  $H_D$ , as in Figure 5d. The number of wave data decreases significantly with larger

 $H_D$ . However, the decrease in the number of wave data is not as significant 563 as in the case of the NDBC deployed buoy wave height data (Figure 5d). For 564 example, the percentage in Figure 9d is 1.264 % for  $H_D = 0.3$  m, while the 565 percentage is 0.517 % in Figure 5d. The case where  $H_{sS}$  is different from  $H_{sL}$ 566 at the JMA drifting buoy locations is more frequent than the case where  $H_{sS}$ 567 is different from  $H_{sL}$  at the NDBC buoy locations, even though the mean 568 value of the observed wave height  $\overline{H_{sB}}$  by the NDBC buoys is larger than 569 that observed by the JMA buoys. This is because the JMA drifting buoys 570 are located in an area where storm tracks occur more frequently compared 571 to the area where the NDBC buoys are located. An extratropical cyclone in 572 the storm track area moves quickly due to the westerlies. Conversely, an 573 extratropical cyclone north of the storm track area does not move as quickly; 574 further, the winter cyclone frequency is higher in this area (e.g., Zolina and 575 Gulev, 2002). Therefore, wave heights north of the storm track area are 576 higher than those in the storm track area. 577

# 578 3.7. Spatial and temporal variability of the wave differences

Figure 10 shows the mean wind and wave parameters and the RMSDs 579 of the SPT-interpolated parameters and the linearly interpolated parameters 580 from 2005 to 2006. Figure 10a shows the mean wind speeds  $(\overline{U_L})$ , and Fig-581 ure 10b shows the RMSDs of the wind speeds  $(R_d(U_L, U_S))$ . The position of 582 the NDBC buoy (51.141° N, 179.119° W: the triangle in Figure 10) is close 583 to the local maximum point of the wind speeds (Figure 10a) but is not close 584 to the local maximum of the RMSD point of more than  $0.8 \text{ ms}^{-1}$ , which is 585 near (40 N, 160° E) (Figure 10b). The maximum position of  $R_d(U_L, U_S)$  in 586 Figure 10b is at (38.25 N, 156.75° E). The contour lines of  $\overline{U_L}$  are not dense 587

and the magnitudes of the spatial gradient of  $\overline{U_L}$  are not large in the area where  $R_d(U_L, U_S)$  is large. The magnitudes of the spatial gradient of  $\overline{U_L}$  in Figure 10a, except near the coast, are large from 150° E to 170° E and near 30° N.

Figure 10c shows the mean wave heights  $(\overline{H_{sL}})$ , and Figure 10d shows the RMSDs of the wave heights  $(R_d(H_{sL}, H_{sS}))$ . The value of  $R_d(H_{sL}, H_{sS})$  at the NDBC buoy location is approximately 0.07 m (the triangle in Figure 10), which is not large in Figure 10d. The spatial pattern of the wave heights in Figure 10c is similar to that of the wind speeds in Figure 10a.

Figure 10e shows the mean wave periods  $(\overline{T_L})$ , and Figure 10f shows 597 the RMSDs of the wave periods  $(R_d(T_L, T_S))$ . The spatial pattern of the 598 mean wave period is different from those of the wind speeds (Figure 10a) 599 and the wave heights (Figure 10c). Conversely, the spatial pattern of the 600 RMSDs of the wave periods  $(R_d(T_L, T_S))$  is similar to those of the wind 601 speeds (Figure 10b) and the wave heights (Figure 10d). The area where 602 the RMSDs of the wind speeds between from the linear interpolation and 603 from SPTIM is nearly the same to those of wave parameters. However, the 604 large RMSD area is different from the area where either the values of the 605 parameters or the magnitudes of the spatial gradients is not the large area 606 of  $R_d(U_L, U_S)$ . 607

#### 4. Discussion and conclusion

<sup>609</sup> SPTIM can be applied to the interpolation of the surface winds even <sup>610</sup> in the case where there are multiple cyclones and anticyclones that move in <sup>611</sup> different directions and at different distances. The impact of SPTIM on wave prediction in the North Pacific area was investigated. The spatial resolution for the wave prediction was  $0.75^{\circ} \times 0.75^{\circ}$ . The comparison period was from 2005 to 2006. The data from six NBDC buoys and seven JMA drifting buoys were used.

The comparison between the NDBC-buoy-observed wave heights and pe-616 riods and those predicted from the interpolated winds shows that the im-617 provement in the correlation and RMSD due to SPTIM is small for the total 618 dataset. In the case where the magnitude of the propagation vector **a** in 619 Eq. (1) is small, the differences in the wind and wave parameters between 620 those from the linear interpolation and those from SPTIM are small (Hisaki, 621 2016). However, the improvement is evident for larger differences in the wind 622 and wave heights and periods between those from the linear interpolation and 623 those from SPTIM. We showed that the improvements in the wave prediction 624 are statistically significant at more than 95 % levels for most values of  $H_D$ 625 and  $T_D$ . 626

The predicted wave directions at the position of buoy U, where the differ-627 ence between the linearly interpolated winds and the SPT-interpolated winds 628 is small, were compared with the observed wave directions. The prediction 629 of the wave direction using SPTIM was not improved. This is because the 630 direction of the SPT-interpolated wind was not improved compared to that 631 of the linearly interpolated wind, while the speed of the SPT-interpolated 632 wind was improved. If a (anti)cyclone exists, the reconstruction of the SPT-633 interpolated winds near the (anti)cyclone will be improved. However, if there 634 are no (anti)cyclone near the area, the reconstruction of the SPT-interpolated 635 winds near the (anti)cyclone will not be improved. In this case, the propa-636

gation vector  $\mathbf{a}$  should be zero, which makes SPTIM identical to the linear 637 interpolation. However, the propagation vector **a** may not be zero due to the 638 spatial interpolation of the vector **a** on the grid points, as explained in Sec-639 tion 2.1. If there is a cyclone, the wind speed will be large around the area of 640 the cyclone. The contribution of the improvement of the SPT-interpolated 641 wind speed to the error statistics is large, while that of the SPT-interpolated 642 wind direction is not very large. Consequently, the SPT-interpolated wind 643 speed is improved even at buoy U. The method for the spatial interpolation 644 of the propagation vector **a** should be improved in future studies. 645

The comparison between the JMA-buoy-observed wave heights and those 646 predicted from the interpolated winds also shows the improvement in the 647 correlation and the RMSD due to SPTIM with larger  $H_D$ . This improvement 648 is evident, even though the number of comparisons with JMA buoys is much 649 smaller than that with NDBC buoys. This is because the JMA buoys are 650 drifting in the Kuroshio extension area, which is close to the storm track area. 651 To the author's knowledge, this study demonstrates for the first time that 652 the wave height and period prediction can only be improved by improving 653 the time interpolation of the winds. 654

The area where the difference in the wind and wave parameters between those from the linear interpolation and those from SPTIM is large is in the storm track area of the North Pacific. The area where the wind speeds  $(\overline{U_L})$ are the largest is not in the large area of  $R_d(U_L, U_S)$ . This is also true for the wave height and period. The area where the  $R_d(U_L, U_S)$  are large is also different from the area where the magnitudes of the spatial gradients of the wind speeds are large. This is also true for the wave height and period. The differences in the wind and wave parameters between the linear interpolation and SPTIM are not very large over the two years. Therefore, the improvement in the wave prediction using SPTIM is small overall. However, often the wave prediction using SPTIM is improved at any locations.

The time resolution of the archived atmospheric reanalysis data will be higher in the future. However, SPTIM will still useful in this case. Not only the time resolution but also the spatial resolution will be finer in future archived atmospheric reanalysis datasets. The magnitudes of the propagation vector  $\mathbf{a}$  in Eq. (1) in the horizontal coordinate normalized by the grid resolution are larger with finer spatial resolution.

SPTIM can also improve the spatial resolution. For example, consider 672 the spatial interpolation from a  $0.75^{\circ}$  grid to a  $0.25^{\circ}$  grid. The  $0.75^{\circ}$  grid line 673 overlaps the  $0.25^{\circ}$  grid line. A center of a cyclone at time t is detected on a 674  $0.75^{\circ}$  grid point, and the center of the cyclone at time t + T is detected on 675 another 0.75° grid point. The center of the cyclone at time  $t + \alpha_t T$  (0 <  $\alpha_t$ 676 < 1) is always on the 0.75° grid point in the case of the bilinear interpolation 677 from a  $0.75^{\circ}$  grid to a  $0.25^{\circ}$  grid. However, the center position can be on a 678  $0.25^{\circ}$  grid point in the case of SPTIM. The impact of the spatial interpolation 679 on the ocean modeling of surface waves or high frequency variability such as 680 a near-inertial oscillations will be explored in future studies. 681

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Table 1: Summary of NDBC buoys for comparison. The values from the second line to the seventh line (from buoy P to U) are those for the winds, wave heights, and periods. The values of the bottom (eighth) line (buoy U) are those for the wave directions. The locations of the buoys are shown in Figure 1a.  $N_{wn}$  indicates the number of wind data for comparison, and  $N_{wv}$  indicates the number of wave data for comparison.

Buoy	Buoy ID	Position	Period (hour/day/month/year)	$N_{wn}$	$N_{wv}$
Р	46071	$(51.14^{\circ} \text{ N}, 179.12^{\circ} \text{ E})$	0/1/1/2005-23/31/12/2006	16469	15761
Q	46072	$(51.66^{\circ} \text{ N}, 172.06^{\circ} \text{ W})$	0/1/1/2005-10/14/10/2006	8472	15386
R	46073	$(55.03^{\circ} \text{ N}, 172.00^{\circ} \text{ W})$	21/13/5/2005-23/31/12/2006	14194	14059
S	46075	$(53.91^{\circ} \text{ N}, 160.82^{\circ} \text{ W})$	0/1/1/2006-23/31/5/2006	7204	9419
Т	46035	$(57.03^{\circ} \text{ N}, 177.74^{\circ} \text{ W})$	0/1/1/2005-23/31/12/2006	13130	17364
U	51001	(24.417° N, 162.10° W)	0/1/1/2005-23/31/12/2006	17471	17329
U	51001	$(24.417^{\circ} \text{ N}, 162.10^{\circ} \text{ W})$	0/1/6/2005-23/31/12/2006		13726

Table 2: Summary of the JMA drifting buoy specifications. The JMA buoys are shown in Figure 1b.  $N_{wv}$  indicates the number of wave heights for comparison.

Buoy	Initial Position	End Position	Period (hour/day/month/year)	N <sub>wv</sub>
А	$(31.85^{\circ} \text{ N}, 145.01^{\circ} \text{ E})$	$(31.28^{\circ} \text{ N}, 147.32^{\circ} \text{ E})$	06/13/02/2005-15/11/03/2005	212
В	$(41.26^{\circ} \text{ N}, 145.06^{\circ} \text{ E})$	$(40.18^{\circ} \text{ N}, 145.02^{\circ} \text{ E})$	06/02/05/2005-03/09/05/2005	56
С	$(39.12^{\circ} \text{ N}, 145.00^{\circ} \text{ E})$	$(41.15^{\circ} \text{ N}, 156.05^{\circ} \text{ E})$	06/06/07/2005-00/28/09/2005	667
D	(35.36° N,145.11° E)	$(31.05^{\circ} \text{ N}, 168.73^{\circ} \text{ E})$	03/18/08/2005-12/06/12/2005	882
Е	$(35.79^{\circ} \text{ N}, 145.02^{\circ} \text{ E})$	$(35.31^{\circ} \text{ N}, 168.13^{\circ} \text{ E})$	12/05/06/2006-09/29/11/2006	1365
F	$(34.43^{\circ} \text{ N}, 145.02^{\circ} \text{ E})$	$(36.53^{\circ} \text{ N}, 154.55^{\circ} \text{ E})$	00/29/08/2006-21/28/10/2006	483
G	$(40.80^{\circ} \text{ N}, 145.03^{\circ} \text{ E})$	$(38.33^{\circ} \text{ N}, 148.66^{\circ} \text{ E})$	18/12/11/2006-21/31/12/2006	290

Table 3: Comparisons of the predicted wind speeds with the wind speeds from the NDBC buoys. The locations of the NDBC buoys are shown in Figure 1a.

Wind speed, unit: m/s for the RMSD and mean values								
Buoy	$r_c(U_B, U_L)$	$r_c(U_B, U_S)$	$R_d(U_B, U_L)$	$R_d(U_B, U_S)$	$\overline{U_B}$	$\overline{U_L}$	$\overline{U_S}$	
Р	0.903	0.904	1.846	1.834	8.357	8.459	8.489	
Q	0.913	0.915	1.659	1.633	8.669	8.468	8.501	
R	0.952	0.954	2.077	2.039	9.841	8.441	8.466	
S	0.924	0.926	1.620	1.604	8.736	8.191	8.194	
Т	0.951	0.952	2.260	2.231	10.654	9.064	9.089	
U	0.849	0.849	1.737	1.741	7.534	6.638	6.635	

Table 4: Comparisons of the predicted wave heights and periods to those from the NDBCbuoys. The locations of the NDBC buoys are shown in Figure 1a.

Wave height, unit: m for the RMSD and mean values								
Buoy	$r_c(H_{sB}, H_{sL})$	$r_c(H_{sB}, H_{sS})$	$R_d(H_{sB}, H_{sL})$	$R_d(H_{sB}, H_{sS})$	$\overline{H_{sB}}$	$\overline{H_{sL}}$	$\overline{H_{sS}}$	
Р	0.859	0.864	0.818	0.805	2.806	2.869	2.884	
Q	0.918	0.920	0.637	0.624	2.930	2.754	2.771	
R	0.947	0.948	0.554	0.557	2.402	2.682	2.697	
S	0.882	0.886	0.627	0.618	2.569	2.618	2.632	
Т	0.949	0.951	0.503	0.497	2.610	2.681	2.691	
U	0.824	0.826	0.642	0.638	2.369	1.930	1.933	
All	0.893	0.895	0.638	0.631	2.616	2.575	2.586	
Wave	Wave period, unit: s for the RMSD and mean values							
Buoy	$r_c(T_B, T_L)$	$r_c(T_B, T_S)$	$R_d(T_B, T_L)$	$R_d(T_B, T_S)$	$\overline{T_B}$	$\overline{T_L}$	$\overline{T_S}$	
Р	0.738	0.743	1.333	1.322	7.133	6.289	6.298	
Q	0.802	0.805	1.291	1.276	7.142	6.238	6.251	
R	0.766	0.765	0.972	0.966	6.626	6.118	6.130	
S	0.740	0.744	1.121	1.104	6.709	6.137	6.158	
Т	0.791	0.792	1.032	1.025	6.622	6.045	6.053	
U	0.807	0.809	1.805	1.792	7.343	5.824	5.835	
All	0.747	0.749	1.312	1.301	6.951	6.099	6.112	



Figure 1: (a) NDBC buoy locations and the number of data points for the JMA drifting buoys in the 0.75° bin during the analysis period and (b) trajectories of the JMA drifting buoys used for the analysis.



Figure 2: (a) Time series of the buoy-observed wind speed  $(U_B: \text{ blue})$ , the linearly interpolated wind speeds  $(U_L: \text{ green})$ , and the SPT-interpolated wind speeds  $(U_S: \text{ red})$  at the NDBC buoy (P) location from 0 UTC to 12 UTC on August 25, 2005. (b) Wind speeds and vectors at 0 UTC on August 25, 2005. (c) Same as panel (b) but at 6 UTC. (d) Linearly interpolated wind speeds  $(U_L)$  and vectors  $(\mathbf{U}_L)$  at 4 UTC on August 25, 2005. (e) Same as panel (d) but for  $U_S$  and  $\mathbf{U}_S$ . (f) Differences in the speeds and vectors between the SPT-interpolated and linearly interpolated winds  $(U_S-U_L \text{ and } \mathbf{U}_S-\mathbf{U}_L)$ .





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Figure 3: (a) Time series of the buoy-observed wave heights  $(H_{sB}: \text{ blue})$ , the predicted wave heights from the linearly interpolated winds  $(H_{sL}: \text{ green})$ , and the SPT-interpolated winds  $(H_{sS}: \text{ red})$  at the NDBC buoy location from 0 UTC to 12 UTC on August 25, 2005. (b) Contours are the same as those in Figure 2d but for the predicted wave heights from the linearly interpolated winds  $(H_{sL} \text{ at 4 UTC on August 25, 2005})$ . (c) Same as panel (b) but from the SPT-interpolated winds  $(H_{sS} \text{ at 4 UTC on August 25, 2005})$ . (d) Differences in the predicted wave heights between the SPT-interpolated and linearly interpolated winds  $(H_{sS}-H_{sL})$  at 4 UTC on August 25, 2005. 42



Figure 4: (a) Scatter plot of the linearly interpolated wind speeds  $(U_L)$  versus the buoyobserved wind speeds  $(U_B)$  for the data in the 0.2 ms<sup>-1</sup> bin. The line indicates the linear regression. (b) Scatter plot of the buoy-observed wind speeds  $(U_B)$  versus the SPTinterpolated wind speeds  $(U_S: \text{ black})$  and the linearly interpolated wind speeds  $(U_L: \text{ red})$ in the case of  $|U_S - U_L| > U_D$  and  $U_D = 1.6 \text{ ms}^{-1}$ . The black line indicates the linear regression for  $U_B$  and  $U_S$ . The red line indicates the linear regression for  $U_B$  and  $U_L$ . (c) Same as panel (a) but for the wave heights and the 0.2 m bins, (d) same as panel (b) but for the wave heights and  $H_D = 0.3 \text{ m}$ , (e) same as panel (a) but for the wave periods and the 0.2 s bins, and (f) same as panel (b) but for the wave periods and  $T_D = 0.2 \text{ s}$ .



Figure 5: (a) RMS differences of the wind speeds  $R_d(U_B, U_L)$  (red and left vertical axis) and  $R_d(U_B, U_S)$  (black and left vertical axis), and the correlation of the wind speeds  $r_c(U_B, U_L)$  (blue and right vertical axis) and  $r_c(U_B, U_S)$  (green and right vertical axis) versus  $U_D$  (horizontal axis) for the case of  $|U_S - U_L| > U_D$ . (b) Percentage of the number of data points versus  $U_D$  for  $|U_S - U_L| > U_D$ . (c) Same as panel (a) but for the wave height, (d) same as panel (b) but for the wave height, (e) same as panel (a) but for the wave period, and (f) same as panel (b) but for the wave period.



Figure 6: (a) The dashed lines indicate the RMS differences of the wind speeds  $R_d(U_B, U_L)$ versus  $U_D$  (horizontal axis) in the case of  $|U_S - U_L| > U_D$  for each NDBC buoy. The solid lines indicate the RMS differences of the wind speeds  $R_d(U_B, U_S)$  (black: P, red: Q, green: R, blue: S, yellow: T, and purple: U). The locations of the NDBC buoys are shown in Figure 1a. (b) Same as panel (a) but for the correlations  $(r_c(U_B, U_L) \text{ and } r_c(U_B, U_S))$ . (c) Same as panel (a) but for the predicted wave heights  $(R_d(H_{sB}, H_{sL}) \text{ and } R_d(H_{sB}, H_{sS}))$ . (d) Same as panel (b) but for predicted wave heights  $(r_c(H_{sB}, H_{sL}) \text{ and } r_c(H_{sB}, H_{sS}))$ . (e) Same as panel (a) but for the predicted wave periods  $(R_d(T_B, T_L) \text{ and } R_d(T_{sB}, T_S))$ . (f) Same as panel (b) but for the predicted wave periods  $(r_c(T_B, T_L) \text{ and } r_c(T_B, T_S))$ .





Figure 7: Significance level of the wave prediction improvement as a function of the difference between the linearly interpolated wave parameters and the SPT-interpolated wave parameters. (a) Significance level of the improvement of the wind speed correlation (red) and the RMSD of the wind speeds (blue). (b) Same as panel (a) but for the wave heights, and (c) same as panel (a) but for the wave periods.



Figure 8: (a) Scatter density plot of the wave directions from the linearly interpolated winds  $(\theta_{vL})$  versus those observed by buoy U  $(\theta_{vB})$  in 5°×5° bins. (b) RMSDs between the predicted wave directions and the buoy-observed wave directions as a function of  $\theta_D$ satisfying  $|\theta_{vS} - \theta_{vL}| > \theta_D$  (black:  $R_d(\theta_{vS}, \theta_{vB})$  and red:  $R_d(\theta_{vL}, \theta_{vB})$ ). (c) Ratio of the number of wave direction data to the total number of wave direction data as a function of  $\theta_D$ . (d) Probabilities of  $R_d(\theta_{vS}, \theta_{vB}) < R_d(\theta_{vL}, \theta_{vB})$  as a function of  $\theta_D$  estimated using the bootstrap method.



Figure 9: Comparisons of the wave heights predicted with the JMA drifting buoys. (a) Same as Figure 4c but with the JMA drifting buoys. (b) RMS differences of the wave heights  $R_d(H_{sB}, H_{sL})$  (red, left vertical axis) and  $R_d(H_{sB}, H_{sS})$  (black, left vertical axis), and the correlation of the wind speeds  $r_c(H_{sB}, H_{sL})$  (blue, right vertical axis) and  $r_c(H_{sB}, H_{sS})$  (green, right vertical axis) versus  $H_D$  (horizontal axis) in the case of  $|H_{sS} - H_{sL}| > H_D$  for the JMA drifting buoys. (c) Same as Figure 7b but for the JMA drifting buoys. (d) Same as Figure 5d but for the JMA drifting buoys.



Figure 10: (a) Mean wind speed  $(\overline{U_L})$  on the sea and the vectors  $(\overline{U_L})$  from the linear interpolation from 2005 to 2006. (b) RMS difference between  $U_L$  and  $U_S$   $(R_d(U_L, U_S))$ from 2005 to 2006. (c) Mean wave height  $(\overline{H_{sL}})$  from 2005 to 2006. (d) Same as panel (b) but for the wave height  $(R_d(H_{sL}, H_{sS}))$ . (e) Same as panel (c) but for the wave period  $(\overline{T_L})$ . (f) Same as panel (b) but for the wave period  $(R_d(T_L, T_S))$ . 49